

Discover more about clinical trials

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Project goal

The ClinicalTrials.gov registry is a public resource that contains valuable information about clinical research, yet most of the data lacks structure or easy accessibility. We aim to create an interface that allows researchers, trial sponsors, and patients and their physicians to easily understand the state of clinical research on particular conditions or at a specific institution. Ideally this interface would also provide tools that permit users to actively improve the quality of data in the registry so that it is more accessible to the general public.

Background

Clinical trials are an important part of medical innovation and our public health infrastructure. These trials seek to evaluate the efficacy and safety of drugs, devices, procedures, behavioral changes, and other interventions, typically in comparison to some known treatment or a placebo—i.e., no treatment or intervention (National Institutes of Health 2014).

Trials are usually sponsored by pharmaceutical companies, academic medical centers, research foundations, and government agencies, and these funders spend a significant amount of money conducting trials each year. In 2014, for instance, the National Institutes of Health (NIH) granted \$3.2B for research, and the pharmaceutical and medical device industries spent a further \$32.3B on Phase I-IV clinical trials (National Institutes of Health 2015; PhRMA 2014).

The National Library of Medicine (NLM) at NIH has maintained a publicly accessible registry of observational and interventional studies since 1997, when the Food and Drug Administration Modernization Act (FDAMA) mandated registration of all Phase II-IV clinical trials. This database, hosted at ClinicalTrials.gov, has become more widely used since 2005, when all major scientific journals instituted a requirement that a trial be registered prior to first patient being enrolled in order to publish results (ICMJE 2015).

In fact, a number countries host clinical trials registries (World Health Organization 2015), but the NIH registry is far larger than the others due to its early acceptance by journal editors as evidence of trial registration. This makes the registry the main source of clinical trial information worldwide.

Currently there are over 150,000 interventional studies registered at ClinicalTrials.gov, which is structured to collect detailed information regarding study design, institutional

characteristics, and study results. There are an additional 35,000 observational studies in the registry, but for the purposes of this project, we have only focused on the interventional studies, better known as clinical trials.

Prior research using the registry

Although ClinicalTrials.gov is a publicly available source of important clinical trials information, research on the registry has been relatively sparse. Zarin et al. (2005) performed the first general review of the trials in the registry, and their study happened to coincide with the journal editors' announcement of their trial registration requirement. The same group followed up with another review of the registry six years later (Zarin et al. 2011), which appears to have sparked broader interest in the use and implications of a public clinical trials registry.

Recent published research on the registry can generally be divided into two categories: surveys of trials in the registry (Califf 2012, Bell and Tudur Smith 2014), sometimes with a particular focus on a medical specialty or outcome measure (Inrig et al. 2014, Vodicka et al. 2015); and investigations into the results reported in the registry (Kuehn 2012, Saito and Gill 2014), with an especial focus on the correspondence between submitted and published results (Riveros et al. 2013, Hartung et al. 2014). The intense focus on trial results submitted to the registry is driven primarily by ethical and public policy concerns about the transparency of publicly funded research (Anderson et al. 2015, Enserink 2015): despite reporting requirements covering a large share of trials, less than 10% of interventional trials in the ClinicalTrials.gov database have any results reported.

In contrast to the growing body of research on the (lack of) submission of trial results, very little research has investigated the quality of data in the registry (Guharoy 2014), and there are virtually no published statistics about how the general public is using this resource. Since trial registration requirements have imposed significant compliance burdens on trial investigators (Getz et al. 2011, O'Reilly et al. 2015), many investigators simply meet the minimum requirement of registration without providing detailed protocol description, site location data, eligibility criteria, or other important information that could be used to inform the public of their activities. Moreover, the registry lacks a high degree of standardization across trials, complicating issues of information organization and retrieval.

Data

Clinical Trials Transformation Initiative

The Clinical Trials Transformation Initiative (CTTI), hosted at Duke University, has endeavored to convert the ClinicalTrials.gov registry data into a relational database format, which they publish approximately twice each year in Oracle, SAS, and pipeseparated plain text formats (Tasneem et al. 2012, CTTI 2015). The latest version, published in September 2014, contains information for over 160,000 clinical studies, including around 132,000 interventional studies.

We did not have access to Oracle or SAS, so opted to load the plain text version of the CTTI database into a MySQL instance. Prior to loading the data, we performed some preprocessing steps:

- Removal of line breaks and excessive whitespace in multi-line fields such as trial protocol description and eligibility criteria
- Removal of suspicious trial enrollment numbers, e.g. "9999999"
- Update of erroneous Medical Subject Heading (MeSH) terms so they aligned with the official MeSH vocabulary (National Library of Medicine 2014)

All the steps of our data preprocessing and loading process exist in a set of Python and SQL scripts that can be easily updated to load future releases of the CTTI database. Appendix A provides an entity relationship diagram of our website's backend database, indicating its connection to the CTTI database.

Freebase

Freebase, formerly known as Metaweb, is an open-source repository of people, places, and things (Freebase 2011). Each entity is described using a series of resource description framework (RDF) triples, which are also used to connect the entity with other people, places, and/or things. For instance, the major public hospital in San Francisco "is named" San Francisco General Hospital and "was founded" in 1850; it also is "part of" the UCSF Helen Diller Family Comprehensive Cancer Center and "employs" a number of personnel.

We used the Freebase API to identify canonical institution entities during our sponsor and facility deduplication process (see below). Freebase was also the source of our institution descriptions, locations, and images. It is worth noting that Google owns Freebase and has decided to shut down the service in favor of their Knowledge Graph, which relies on much of the same underlying data (Freebase 2015).

NLM medical thesaurus

Most clinical trials in the registry are tagged with Medical Subject Heading (MeSH) terms, a controlled vocabulary hierarchy developed by the NLM to standardize descriptions of biomedical text and concepts. This is helpful for understanding the relationships between trials, researchers, and institutions, but it is often not ideal for a search implementation because the average user does not colloquially use MeSH terms. For example, trials studying cancer treatments often use the MeSH term "neoplasm" (the medical term for tumor), but most laypeople have never heard of a neoplasm.

We needed to link MeSH terms with their common names in order to improve the accessibility of the registry data. Fortunately, the NLM has developed a health topic resource called MedlinePlus, which offers commonly used names for many frequently diagnosed conditions (National Library of Medicine 2015). We downloaded the most recent version of the MedlinePlus health topic XML and parsed it in order to find useful synonyms for the MeSH terms found in the registry.

PubMed

PubMed is a search engine for biomedical literature, providing access to more than 24 million citations from MEDLINE, life science journals, and online books (National Library of Medicine 2005). This service allows you to search for publications based on a wide variety of fields, including keyword, MeSH term, publication date, and author, and it is the primary resource used by researchers and clinicians when searching for publications.

Only 21% of completed trials in the ClinicalTrials.gov registry have been linked with any publication, so it is quite likely there are missing links between trials and subsequent publications. The PubMed API provides programmatic access to the search engine and document description information (Sayers 2010), allowing us to comb through a vast number of potentially relevant documents in order to identify publications that are likely to be related to a given trial. See section below about linking publications to trials for more information.

Methods

Offline methods

Institution deduplication

The majority of information submitted to ClinicalTrials.gov is unstructured text lacking any standardization, which has resulted in a large number of mistyped and otherwise duplicated institution names. For example, a human can tell that "Johns Hopkins University", "John's Hopkins University" and "John Hopkins" all refer to the same institution, but a search for "Johns Hopkins University" will only match trials listed with institution names that exactly match the search term which would only be the first name.

Moreover, various departments within an institution often identified themselves as such, usually with varying acronyms, abbreviations, and punctuation marks. Thus, data related to Johns Hopkins University is associated with several different keys instead of a single canonical key: the registry contains 273 unique strings that we associated with Johns Hopkins University, and these exist at 458 different locations—i.e., combinations of city, state, and ZIP Code.

In order to make the data as accessible as possible to those interested in the research activity of a particular institution, we sought to make the data related to one institution queryable under a single canonical string representing that institution, so that when a user searches for "Johns Hopkins University", they retrieve all the results they were seeking.

To achieve this goal we first retrieved all the facility names and locations in the database and and used the Python dedupe package to identify likely duplicates based on the name and location information for each record. This package employs an active learning approach to link duplicate records using sophisticated predicate blocking techniques (Bilenko 2006, Gregg et al. 2015).

There is some judgment left to the user of this algorithm about how aggressively to merge duplicates. For example, the thresholds we set ultimately merged facilities like Johns Hopkins University and Johns Hopkins Medical School into a single entity, but the Johns Hopkins Bloomberg School of Public Health remained its own distinct entity. It is debatable whether this should in fact be three (or more) distinct entities, or perhaps all a single entity. A visual inspection of dozens of trials indicated that, in this case, many trials at "Johns Hopkins University" were actually located at the medical school or the affiliated Bayview Medical Center, while those attributed to the Bloomberg School of Public Health were nearly always in the same location.

After linking facility names and locations that referred to the same institution, we matched these clusters to trial sponsor names, which tend to be more standardized, in order to identify all the trials associated with an institution. To aid this process we used the aforementioned Freebase API to find the matching business, hospital, university, or other institution that could serve as the institution's canonical representation.

Linking publications to trials

It is important to have publications linked with clinical trials and institutions because peer reviewed publications are one of the best indicators of successful trials, investigators, and institutions. If, for example, an institution had a very low number of publications compared to the number of trials they had run related to a certain disease, this would be an indicator that they have not had very many successful trials in that disease category.

While it is possible for investigators to associate publications to the trials they have registered with ClinicalTrials.gov, only around 29,000 studies in the database have any linked publications. In order to find additional relevant publications, we retrieved a list of all the investigators in the registry and stripped the names of any honorifics (e.g., Dr., Prof.). Once we had a cleaned list of investigator names, we used the PubMed API to query data about all the publications each investigator had published.

Because there were over 90,000 unique investigators in the database and we had to make two API calls per investigator (one to get the publication IDs and one to get data about the publications), we ended up having to making around 180,000 API calls. PubMed has several limitations on the use of their API when making this volume of queries, including only making three calls per second and only making calls between 9 pm and 5 am. As a result, it took almost a week to finish making all the API calls. In addition, because there were so many investigators and potential publications, we ended up with ~45GB of XML data to sort through.

Once we had all the data, we processed it, separating the articles that had direct links to trials and those that didn't. (NB: an author is able to include a ClinicalTrials.gov identifier in their PubMed description, which many investigators did without including the publication in the trial registry.) We then dropped any articles published prior to the investigator's earliest trial, as well as articles that had no matching MeSH terms with

any of the trials in which the investigator had been involved. With this new set of investigator publication data, we were able to link publications to trials based on a weighting system that looked at number of matching MeSH terms, whether or not the matching MeSH term was a primary term for the publication (which was a marker in the publication xml), and the amount of time between the publication date and trial date.

With these weights we were able to split up the potentially linked publications into three groups—likely, probably, possibly connected to the trial—to give the user an idea of how confident the system is that the publication is correctly matched with the trial.

Data quality ratings

One goal of our project is to improve the data quality of the clinical trials registry, and one of the main ways this can be accomplished is by improving the quality of data entered into the registry in the first place. To this end we developed a rating system for the quality of data entered for each trial, in an effort to expose the areas where investigators and institutions need to improve their submissions.

We combined a variety of measures to come up with this ranking, including the quality of the dates, MeSH terms, site description, general completeness, trial protocol description, and eligibility criteria. We aim for transparency by publishing our criteria on the project website. The positive and negative factors for each measure include:

- Research facility data
 - <u>Positive factors</u>: valid city and country, ZIP Code (if in U.S.), a meaningful site name, a valid investigator name
 - <u>Negative factors</u>: a generic site name (e.g., "Investigation Site #24"), a recruiting status that is inconsistent with the trial's overall recruiting status
- Protocol description
 - <u>Positive factors</u>: approximately 500 words or more
 - <u>Negative factors</u>: boilerplate text (i.e., appears quite often across trials), a high number of very frequent terms like "trial", "study", or "intervention"
- Eligibility criteria description
 - <u>Positive factors</u>: sections clearly labeled "inclusion criteria" and "exclusion criteria"
 - <u>Negative factors</u>: more than 30 eligibility criteria
- MeSH classification
 - <u>Positive factors</u>: four or more condition terms, two or more intervention terms

- <u>Negative factors</u>: no condition or intervention terms
- Dates
 - <u>Positive factors</u>: presence of start and completion dates, presence of "actual" or "anticipated" completion date type
 - <u>Negative factors</u>: obviously incorrect dates (e.g., "December 2099"), an "anticipated" completion date that occurs in the past

Each trial is rated on these measures, and ratings are also aggregated across trials so that each institution has an overall data quality rating. Our hope is that these ratings will expose data quality problems for researchers and institutions, and this awareness will lead them to improve the quality of their trial registrations.

MeSH term suggestions

Because MeSH is a controlled vocabulary, trials can be more easily retrieved and compared when they are tagged with all relevant MeSH terms. More than 23,000 trials, or 14% of those in the CTTI database, have no MeSH condition terms associated with them, and another 51,000 trials (31% of the database) are tagged with just one condition term. This limits the usability of this data set since many searches for a particular condition will fail to retrieve all relevant results.

To improve this coverage we used machine learning algorithms to generate suggestions of MeSH terms that apply to each trial based on the portion of trials in the database that are well-described using MeSH terms. Specifically, we used three different methods—a maximum entropy classifier, a series of logistic regression classifiers, and a K-Nearest Neighbors (KNN) model—in order to generate suggestions that are displayed on an individual trial's page.

Maximum Entropy classifier

The maximum entropy classifier predicts the single most likely MeSH condition term with which a trial should be tagged. Although the CTTI database includes over 3,300 distinct MeSH condition terms, we limited this classifier to around 1,800 MeSH condition terms that were applied to ten or more trials in the database. This ultimately excluded only about 10,000 of the 140,000 trials tagged with one or more MeSH condition terms.

We constructed a tf-idf matrix, where each "document" represented a single MeSH term and consisted of all the protocol description text for each trial tagged with that term. Stopwords and punctuation were removed, and all words were lowercased prior to the tf-idf matrix creation. The classifier was trained using this matrix and the predictions were generated for each new trial using similar transformations of its description text.

Logistic regression classifiers

The series of logistic regression classifiers predict the likelihood an individual trial is related to the hypernym concepts at the second level of the MeSH hierarchy. There are around 290 condition-related concepts at this level of the hierarchy, and this level is typically a bridge between the most generic concepts (e.g., cancer, cardiopulmonary disease) and more specific illnesses (e.g., breast cancer, high blood pressure). Few trials are actually tagged with terms residing at this concept level, so these predictions would serve mainly as a starting point for a professional reviewer.

As in the maximum entropy classifier, each hypernym was converted into a "document" containing all the description text of trials tagged with terms falling under that hypernym. Stop words, punctuation, tokens shorter than three characters, and any wholly numeric tokens were removed, and all text was lowercased; the feature vector was simply a frequency of each of the remaining tokens, normalized by vector length. Then the hypernym's feature vector was compared to a similar feature vector for all trials that didn't include the hypernym in a logistic regression in order to generate coefficients for the terms that most predicted a relationship with that particular hypernym.

K Nearest Neighbors classifier

The final model is a straightforward KNN model, following from work by Trieschnigg et al. (2009), who found that a KNN-based algorithm clearly outperformed other classifiers when suggesting MeSH terms for article abstracts submitted to PubMed. For this model, a single tf-idf matrix was generated for all trials tagged with at least one MeSH term. Again, the text used for each trial was its description text stripped of stop words and punctuation, and with the text lowercased. Unlabeled trials go through a similar transformation, and the algorithm identify the 10 nearest neighbors as defined by euclidean distance between the documents' tf-idf vectors.

The (manually-assigned) MeSH terms associated with those 10 nearest neighbors are aggregated and weighted according to how many of the neighbors are tagged with the term and how far the neighbors are from the unlabeled document. The output of this algorithm is then a list of all MeSH terms associated with the 10 nearest neighbors of the unlabeled trial, ranked by projected relevance.

Online methods

Active learning interface

Eligibility criteria are a critical factor in determining whether an individual can participate in a specific trial, yet these criteria are submitted to the registry as completely unstructured blocks of text, except for basic age and gender criteria. This makes it difficult for patients or their physicians to efficiently determine which trials they may be able to join.

To ameliorate this problem, we created an interactive "active learning" process that enables users to select a term from a trial's eligibility criteria section and build out a group of terms that encompass a eligibility *concept*. For example, the concept of "birth control" might include terms like birth control, contraceptive, condom, IUD, etc. The interface for the tool allows users to accept and exclude different terms while creating the concept.

In the background, this interface is using two different algorithms to provide suggested terms that a user can accept or reject for the concept. The first relies on the word2vec Python package, which uses a multi-dimensional vector representation of words and phrases to efficiently estimate similarities across huge text corpora (Mikolov et al. 2013a & 2013b). When given a seed term, a model trained on all trials' eligibility criteria returns terms that often appear in similar contexts. For efficiency and robustness, the model only includes around 20,000 frequently appearing terms, so if a seed term is not in the model the active learning process moves on to the predictor step.

Whereas a concept is comprised of terms, predictors are words and phrases that often appear with the terms in that concept, but are not directly related to the concept itself. For example, terms related to the concept "birth control" may often appear with "method of" or "effective use"; they are unlikely to appear with "disease progression" or "unknown cause". The predictor step finds noun phrases and other word chunks that frequently appear in the same sentence as a concept terms, and suggests these to a user for acceptance or rejection.

These predictors, in turn, inform the next round of term suggestion. The system suggests noun phrases that frequently appear with the predictors, and a user can accept or reject these, which informs the next predictor step. A user can go back and forth between these term and predictor steps, accepting or rejecting terms and predictors, in order to develop a concept by identifying as many associated terms as possible.

Once a concept is created it is saved in a staging table where an administrator can review and approve the associated terms. After being approved, the concept is associated with all trials to which it applies, and then will be accessible for filtering trials on the site. This enables users to help structure the free text of the trial eligibility criteria data in order to increase retrieval.

MeSH recommendation engine

While our primary goal is to improve access to the data already in the ClinicalTrials.gov registry, another way to improve the data in the database is to help improve it before it is even entered. The controlled MeSH vocabulary improves information retrieval because trials can be discovered using a standard set of descriptors rather than the trial investigator's specific, and possibly idiosyncratic, terminology. To support researchers who seek to describe their registered trial using MeSH terms, we have an online MeSH recommendation engine that accepts any text (currently limited to 8,000 characters) and suggests a set of relevant MeSH condition terms.

This tool uses the KNN technique described for the offline process of suggesting MeSH condition terms: a user's text is compared to each trial's protocol description, and the MeSH terms associated with the 10 most similar trials are returned as suggestions. Because a brute-force approach of multiple pairwise comparisons is extremely slow, the model, in this case, uses a latent semantic indexing approach to dramatically reduce the dimensionality of the text, allowing extremely fast comparisons (Rehurek and Sojka 2010).

User research

Initial inquiry

Prior to developing our interface, we performed a preliminary set of interviews with a set of clinical studies experts, including:

- Winston Chiong, MD, PhD, an Assistant Professor in Neurology at the University of California, San Francisco. Professor Chiong performs clinical research and had recently gone through the registration process at ClinicalTrials.gov, but is otherwise unfamiliar with the database or its potential uses.
- Jennifer Ahern, PhD, MPH, an Associate Professor in Epidemiology at the University of California, Berkeley's School of Public Health. Professor Ahern is an

expert in observational studies, rather than clinical trials, and was also mostly unfamiliar with the ClinicalTrials.gov registry.

• Jack Colford, MD, PhD, MPH, at the University of California, Berkeley's School of Public Health. Professor Colford is an expert in clinical trial design and is very familiar with clinical trials registries, including ClinicalTrials.gov.

Although our experts had varying levels of experience with the ClinicalTrials.gov registry, each of them identified exciting potential new uses of the database during the course of our conversations. Professor Chiong, for instance, was interested in the possibility of using the registry's results data to conduct a systematic review, while Professor Ahern mentioned the possibility of using it to identify and study off-label uses of interventions. Professor Colford felt the registry could be used to verify that published results were produced using the protocol set forth at the beginning of the trial.

Based on these interviews, we decided to make the researcher our primary persona, since they have the technical expertise to make the trials more understandable. This informed our goals to develop tools that would assist experts in improving their data, rather than simply providing a better interface for the existing registry. The patient and their physician remained an important part of our public access mission, but became secondary personas that would benefit from the data quality improvements generated by trial investigators and other researchers.

Interface testing

We performed user testing via both in person interviews and an online survey (see Appendix B). In both settings we provided a list of tasks for the users to accomplish using our DiscoverCT.org website. We created the tasks in a way that they would cover all of the major interactions we envisioned potential users performing on the site. One thing to note is that there are two primary groups of potential users—researchers and patients—and the tasks covered all the interactions for both of the user groups.

The primary tasks in the user interviews were searching for a condition or institution (the choice of which was left up to the user), filtering the clinical trial results from the search, exploring the page describing a specific trial, and using the active learning interface to create a new eligibility criteria concept. After all the tasks were complete we also asked the users to freely explore the site and provide any additional feedback they had. During the in-person interviews we also had them explore the MeSH term suggestion tool.

For each task we had additional subtasks (which can be seen in Appendix B) which were aimed at getting feedback on specific features of interest. For example we had a pop-up modal that described the active learning system, and we were very interested in seeing what how helpful the users found that information. We collected open-ended responses of their impression of each subtask, as well as having them rank how clear or effective each step was.

Results

Interface screenshots

The main product for this project is our user interface, available at DiscoverCT.org:



The homepage

DiscoverCT	Q Search for a condition or institution Search Logged in as admin Logget
Search results for 'hiv'	
Conditions (9 results)	
HIV Infections (3962 trials)	
HIV (3887 trials)	
HIV/AIDS (3887 trials)	
Hiv Seropositivity (140 trials)	
HIVES (90 trials)	
HIV Accessional Lipschattenby Sundrame (10 trials)	
Wwasting Syndrome (13 trials)	
HIV Enteropathy (4 trials)	
Major institutions (2 results)	
Albert Einstein College of Medicine of Yeshiva University (104 trials)	
HIV Vaccine Trials Network (22 trials)	
Other trial sponsors (39 results)	
The HIV Netherlands Australia Thailand Research Collaboration (54 trials)	
CIHR Canadian HIV Trials Network (20 trials)	
HIV Prevention Trials Network (14 trials)	

Search results



Condition page

T DiscoverCT	Search for a condition or institution Search Create account Login
Pfizer 3730 trials New York City, New York	
Most Frequently Studied Conditions	Institution Data Quality Ratings 0
Neoplasms Image: Control of the second s	Overall data quality ************************************
AU20	
DiscoverCT	Search for a condition or institution Search Create account Login

Trials addressing HIV Infections 566 trials match query (most recent shown first) Gene Therapy After Frontline Chemotherapy in Treating Patients With AIDS-Related Non-Hodgkin Lymphoma This trial is recruiting. It is an interventional assessment of a biological, drug, and/or other intervention. (No information was provided about the This view only shows trials that are in the recruiting phase or earlier. phase of the trial.) Refine these results by providing information about the trial participant, then click Location(s): City of Hope Medical Center, Duarte, California 91010 Condition(s): Lymphoma; Lymphoma; Non-Hodgkin; Precursor Cell Lymphoblastic Leukemia-Lymphoma; Lymphoma; Large-Cell, Immunoblastic; Lymphoma, Large B-Cell, Diffuse; HIV Infections; and Acquired Immunodeficiency Syndrome Update results Intervention(s): busulfan; pharmacological study; laboratory biomarker analysis; and lentivirus vector rHIV7-shI-TAR-CCR5RZ-transduced hematopoietic progenitor cells Gender: Female Male Three Chemo Regimens as an Adjunct to ART for Treatment of Advanced AIDS-KS years This Phase 3 trial is not yet recruiting. It is an interventional assessment of a drug intervention. Location(s): No trial sites listed Condition(s): HIV Infections Trials within Distance -Intervention(s): Etoposide; Bleomycin and Vincristine (BV); Coformulated EFV/FTC/TDF; and Doxorubicin HCL Liposome Injection (PLD) Eligibility Criteria birth control?

Study of People With HIV Infection Who Have High Viral Loads Despite Combination Antiretroviral Therapy This trial is recruiting. (No information was provided about the phase or intervention(s) of the trial.)

Location(s): National Institutes of Health Clinical Center, 9000 Rockville Pike, Bethesda, Maryland 20892 Condition(s): Immunologic Deficiency Syndromes; HIV Infections; Acquired Immunodeficiency Syndrome; and Virus Diseases Intervention(s): None listed

List of trials, with patient filters

Age:

Yes

Location

of ZIP Code

Inflammation?

Only show trials

volunteers

accepting healthy

DiscoverC	Q Search for a condition or institution Search Create account Login
Trials associated	with Pfizer 3730 trials match query (most recent shown first) Study of Safety And Efficacy Of ReFacto AF In Previously Untreated Hemophilia A Patients In The Usual Care
Make selections, then click Update results Intervention Type(s)	Setting This Phase 4 trial is recruiting. It is an interventional assessment of a procedure intervention. Location(s): Pfizer Investigational Site, Lille Cedex, France, and 22 other facilities Condition(s): Hemophilia A
All (default) Drug Procedure Behavioral	Intervention(s): Laboratory Tests
Trial Status(es)	This Phase 4 trial is not yet recruiting. It is an interventional assessment of a drug intervention.
All (default) Completed Recruiting Active, not recruiting	Location(s): No trial sites listed Condition(s): Hypertension, Pulmonary and Hypertension Intervention(s): sildenafi citrate
Condition(s)	
Enter a condition	Extension Study Evaluating Etanercept in 3 Subtypes of Childhood Arthritis
 Only show trials that have submitted results Update results 	This Phase 2/Phase 3 trial is active, but not recruiting. It is an interventional assessment of a drug intervention. Location(s): The Children's Hospital Westmead, Sydney, Australia, and 34 other facilities Condition(s): Arthritis, Paoriatic; Arthritis; and Arthritis, Juvenile Rheumatoid Intervention(s): etanercept
	Punitinih Pahaduling in Matastatia Danal Call Carainama (mBCC)

List of trials, with researcher filters



Trial page

 DiscoverCT	Q Search for	a condition or institution	Search	Logged in as test Logout	
Identify important Assessing the Long Term Eff Go to trial page: NCT01617018	criteria concepts fectiveness and Safety of Biotherapie	s in the Treatment	of Psoriasi	S	
	Below are the eligibility criteria for the above-referenced tri may represent general criteria concepts are highlighted. Se order to develop it further and find trials that share a similal your work is reviewed by an administrator, this concept will filters. A good criteria concept is one that applies to a nontrivi may be expressed using a variety of words or phrases.	II. Words and phrases that lect a term on the right in criteria concept. After appear in the trial search al number of trials, but			
Elig	ibility criteria	Potenti	al criteria c	oncepts	
Inclusion criteria:					
- Patients aged 18 years					
 Having been informed of the objectives written informed consent to participate 	and conduct of the research and having signed a	objectives	Not part of any concept	Start a new concept	
		conduct	Not part of any concept	Start a new concept	
		research	Not part of any concept	Start a new concept	
		written informed consent	Not part of any concept	Start a new concept	

Structure criteria page

T D	iscoverCT		Q Search for	a condition or institution	Search	Logged in as test Logout
С	oncept: smok	ing 🥏				
	A term is a word or phrase that is relat	ed to the concept.				
	Is the term <u>family histor</u>	y related to this concept?				
	Yes No Stop					
	Progress: 5 / 20 terms until predictor s	tep				
	Included terms	Excluded terms	Included	d predictors	Excluded pr	redictors
	regular use	medication	pack per	r	greater than	
	pack year	no history	current	or	non-smoker f	for
	pack years	alcohol	cigarette	e smokers	day for	
	cigarettes day	performance status	smokers	31	ex-smokers v	with
	smoke	patients must	products	s in	met the	
	smoked	chemotherapy	used any	y ,	criteria for	
	cigarettes	opinion	smoker	OT a defined	non smokers	j alian
	pack baving smoked	platelet count times	smokers	who	period preced	e
	tobacco user	entry	number	of	subjects who	
	cigarette	upper limit	smoking	history	have a	
	current smoker	participation	current	cigarette	reduce the	
	Ashaaaa	a bill a bill a sector a sector bill a bi		to loo -	and the large life	

Active learning interface

DiscoverCT	Q Search for a condition or institution Search	Logged in as test Logout
Medical Subject Heading (MeS	H) suggestions	
Paste some text:		
the second leading risk factor associated with death and disability-adjusted [Murray 2013]. Tobacco use is especially relevant to the urologic community tobacco use. [Strope 2008] Smoking has also been linked to renal cell carci These tobacco-related diseases, particularly bladder cancer, represent sign	life-years (DALYs), accounting for over 450,000 deaths and nearly 10,000 I y; recent data have shown that nearly half of all bladder cancer cases may b noma, upper tract urothelial carcinoma, and erectile dysfunction. [Hunt 200 ificant preventable patient morbidity and high costs to the U.S. health care	DALYs[a1] [ao2] [LH3] . be attributable to 5, Hagiwara 2013] system. [Murray 2013]
Smoking has been shown to have a significant impact on surgical recovery. wound healing complications, including re-intubation, respiratory failure, wo [Sorensen 2012, Khullar 2012] In addition, tobacco use is associated with a admission, greater need for repeat surgery, decreased patient satisfaction, a	Smoking is associated with an increased risk of perioperative cardiovascul und infections, anastomotic dehiscence, re-intubation, and inferior long-ter higher rate of perioperative complications, including longer hospital stays, I and higher overall costs of care. [Khullar 2012]	ar, pulmonary, and m surgical outcomes. nigher rates of ICU
Despite the strong link to urologic cancer, multiple studies have demonstrat bladder cancer were aware that tobacco use was a risk factor for bladder c stop smoking. [Dearing 2005] A national survey of 1,800 American urologist cohort, 38% of respondents believed they were unqualified to give proper s disease course. [Bjurlin 2010]	ted that urologist do not address smoking cessation with their patients. Only ancer and only 7% of patients with bladder cancer reported that their urolog s reported that 56% of them never discussed smoking cessation with their moking cessation counseling and 41% believed that cessation would not al	/ 22% of patients with gist recommend they patients. In that ter their patients'
Submit		
Suggested terms		
SmokingTobacco Use Disorder		

MeSH suggestion page

T DiscoverCT	Q Search for a condition or institution Search Logged in as admin Logout
Administrator tools Crtieria concept review (Go to MeSH	rm assignment review)
You are currently reviewing fragi	racture -
Terms added by test from test	
 osteoporotic fracture 	
✓ fracture	
vertebral fracture	
✓ fractures	
fragility fractures	
vertebral fractures	
☑ Select all	
Approve terms Reject this entire concept	

Administrator approval interface for criteria concepts

🏌 Disc	coverCT	Q S	earch for a condition o	or institution Search	Logged in as admin Logout
Adm MeSH	inistrator tools term assignment (Go to criteria con	cept review)			
Plea	ase review the following assignme	nts New term	User name	User institution	
Ø	Pharmacogenetic Determinants Of Treatment Response In Children	Leukemia	test	test	
۵	Pharmacogenetic Determinants Of Treatment Response In Children	Leukemia, Lymphoid	test	test	
۷	Temsirolimus, Carboplatin, and Paclitaxel as First-Line Therapy in Treating Patients With Newly Diagnosed Stage III-IV Clear Cell Ovarian Cancer	Disease Progression	test	test	
۵	Immunological Mechanisms of Oralair® in Patients With Seasonal Allergic Rhinitis	Hypersensitivity	test	test	
	Select all				
Appr	ove selected assignments				

Administrator approval interface for MeSH term assignments

Institution deduplication and publication linkage

The trial registry database has approximately 271,000 unique facility names at 528,000 locations. There are a further 30,000 unique sponsor names, many of which overlap with the facility names.

We were able to combine 121,000 unique facilities (out of 528,000 name/location combinations) into 1,075 major institutions that are also linked to their canonical representation in Freebase. 2,000 sponsors could also be linked to these same institutions. Because many of these facilities and sponsors are associated with multiple trials, 78% of studies in the registry are associated with one of these 1,075 major institutions.

Following deduplication, we disregarded around 190,000 facilities because they had meaningless names like "Local Institution" or "Site #4" with no specific location information. The remaining facilities were linked, if possible, to sponsors using simple name matching, and are also retrievable via the search interface.

We also had some success in linking potential publications to trials in the registry. The existing registry has links between approximately 140,000 publications and 28,000 trials. Using PubMed to link publications using author (investigator) name, MeSH

term(s), and publication (trial) date, we associated a further 28,000 publications with 6,000 trials.

MeSH term suggestions

We evaluated the maximum entropy model's single-class prediction by looking at how close this prediction was to manually assigned MeSH terms on a holdout sample. (For this and the other models, we held back 10% of labeled trials, or roughly 14,000 randomly selected trials, in order to test the models we trained on the remaining 90%.) The vast majority (85%) of trials in the holdout sample had a predicted term that matched a manually assigned MeSH term at some level of the hierarchy. In fact, 67% of the holdout sample predictions matched known terms at the fourth level or deeper in the hierarchy, indicating excellent specificity in identifying relevant MeSH terms:



Evaluating the maximum entropy classifier: depth of the closest matching term in the MeSH hierarchy

The KNN model produces a ranked list of MeSH condition terms from "neighboring" trials, so to evaluate this model we calculated the highest ranking suggestion that matched a manually assigned term; just 8% of trials in the holdout sample failed to have any match among the KNN list. Moreover, 71% of trials in the holdout sample had a

manually assigned MeSH term that was the top-ranked KNN recommendation. Spotchecking indicates that this model often has a high rate of overlap with other manually assigned MeSH terms as well, although these results are difficult to statistically summarize. In short, we had the same findings as Trieschnigg et al. that KNN model is superior to other approaches in identifying relevant MeSH terms for unlabeled text.



Evaluating the KNN classifier: highest output rank of term that exactly matches an existing term

The series of logistic regression models were difficult to evaluate, but did not appear to perform well on a manual inspection of the results. We made some efforts to more quantitatively understand the quality of these suggestions, but ultimately left them out of our interface due to lack of confidence that they would provide meaningful information about a trial.

User testing and navigation paths

The results of our user testing were helpful in improving our user interface. These tests identified users' pain points when navigating the site, while also giving us the assurance

that other areas of the site did not need further attention. The summary of these tests can be found at http://bit.ly/discoverct_usertest

For example, we found that the landing page (initial impression), search interface, trial results filters, and the trial description pages were all in good shape according to the users interviewed and surveyed. (See the survey in Appendix B for the exact question wording.)



On the other hand, it became clear that we still needed to improve the user interface surrounding the active learning tool. Test results indicated that the descriptions and interface were not very clear to many of the users.



Exploring responses to open-ended questions from the in person interviews and surveys, we found that people were having a difficult time grasping the motivation behind the active learning tool. We had not adequately explained the system in our popup modal that preceded the use of the system, so we came up with a diagram that visually explains the concepts behind the system as well as what happens after you finish using the tool:





We also added a progress bar to the interface to let users know where they are in the process, as we found they did not have a clear understanding of how long the process would take.

Is the term allergy related to this concept?

There were several other minor design and wording changes we made throughout the site in response to the feedback to reduce confusion and improve the flow of the site.

Next steps

Improving active learning

Going forward there are two major aspects we would like to add to the active learning interface to improve its accuracy and recall: we would like to integrate the word2vec package more deeply into the active learning process, and we would like to add an inverse document frequency (idf) element to improve predictor weighting.

We have found that word2vec is often very effective at finding synonyms of terms within the corpus (although it can occasionally fail on terms you would expect it to catch). We tested incorporating this algorithm further into the term collection process by creating an extended system where every time a user accepted a term generated by the active learning system it would pass it to word2vec and would return the top 3 terms that had not been seen by the user before for approval or denial. This resulted in the user being able to flesh out their concept much more quickly. Unfortunately, in the time frame of the project we were not able to translate this new word2vec interaction into the site's active learning framework.

Further, the addition of idf in the predictor process would enable us to more effectively weight the predictors, resulting in the system showing more relevant suggestions to the user. Currently, predictors are based solely on a "term frequency" model, so the most frequently co-occurring predictors tend to look the same for many concepts. If we could efficiently calculate and store the inverse document frequency of every possible predictor phrase in the criteria eligibility text, we could weight predictors more appropriately to suggest more relevant words and phrases to the user.

Interface improvements

Although criteria eligibility concepts make it easier to discover relevant trials, it was more challenging for users to understand how these concepts were built and developed in the first place. We created visual diagrams and additional description text to make this process more clear, but also feel that a concept summary interface may make it easier to understand exactly which terms are included in a particular concept.

We also had some interest in directly contacting a trial investigator or administrator, and in the future would like to identify the best source of contact information for a trial in order to provide it to interested users.

External integration

Finally, we believe the data improvements enabled by DiscoverCT.org could be useful beyond our website, perhaps including electronic medical record (EMR) integration or the provision of additional structured data to ClinicalTrials.gov.

EMRs are widely used by hospitals and other health care organizations to manage a patient's medical information. These electronic files typically include an array of historical and diagnostic information about a patient, such as known allergic reactions, medications they have taken, and past surgeries and diagnoses.

The trial eligibility criteria concepts created using our active learning interface could be developed in order to align with EMRs' descriptions of patient characteristics. Ideally, a health care provider could use a crosswalk between these systems to identify all patients who may be eligible for a particular trial, or all trials for which a particular patient may be eligible. This may require significant effort from an EMR vendor and/or health care institution, but could drastically reduce the friction of trial enrollment, which is currently conducted in a largely opportunistic fashion.

In addition, the ClinicalTrials.gov registry could benefit from our institution deduplication efforts to address the lack of standardization in research facility and trial sponsor names, as well as the additional MeSH terms suggested by our algorithms and accepted by users. By providing a feedback loop to the primary data repository at NIH, the benefits conferred by improved data would not be limited to our site but could be shared by all users of the ClinicalTrials.gov registry.

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Appendix



Appendix A: Entity-relationship diagram of backend database

Appendix B: User testing survey

	Diogovor(CL goporol upphility
	Discovere r general usability
	Thank you for taking the time to give us feedback on DiscoverCT. These 10 questions should take around 10 minutes to answer. Your responses will directly influence the design and content of this project.
	Please visit our site in order to answer the questions below: <u>http://www.discoverct.org</u>
	Please note: the site works best on the Chrome browser.
Init	tial impression
Bas	sed on the home page, how clear do you think the purpose of this site is?
0	Very clear
0	Somewhat clear
0	Somewhat unclear
0	Very unclear
Sea Try	arching / searching for a condition (e.g. "Lung Cancer") or institution (e.g. "UCSF"). Does this work as you expect?
0	Yes
۲	No
۲	Unsure
Ple	ase provide any comments you have about this

trials. Does this v	fork as you expect?
Yes	
No	
Unsure	
Please provide a	iy comments you have about this
Trial description Select a trial and http://discoverct Criteria" heading	page look at the trial description page. (If you have any trouble, use this link: <u>org/trial?nct_id=NCT00881712</u>) Did you notice the wrench icon next to the "Eligibility
Yes	
No	
O No	
No Do you understar	Id that you can click this wrench icon?
 No Do you understar Yes 	Id that you can click this wrench icon?
 No Do you understar Yes No 	nd that you can click this wrench icon?
 No Do you understar Yes No Please provide and 	nd that you can click this wrench icon? The second s
 No Do you understar Yes No Please provide at 	nd that you can click this wrench icon? Ty comments you have about these icons or the trial page generally
 No Do you understar Yes No Please provide and 	nd that you can click this wrench icon? Ty comments you have about these icons or the trial page generally
 No Do you understar Yes No Please provide and 	nd that you can click this wrench icon? ny comments you have about these icons or the trial page generally
 No Do you understar Yes No Please provide an Logging in Click on the "Log (without quotes).	n" button in the upper right, and log in with the username "test" and password "test" Are you able to log in?
 No Do you understar Yes No Please provide an Logging in Click on the "Log (without quotes). Yes	n' button in the upper right, and log in with the username "test" and password "test"

33% completed

DiscoverCT	general	usability
	<u> </u>	

DiscoverCT criteria concept discovery

Opening dialog

After logging in, click on the wrench icon next to "Eligibility Criteria" on the trial description page. Read the dialog box that comes up. Do you understand what this tool does?

Yes

- No
- Unsure

Please provide any comments you have about this

Identifying a criteria concept

Click OK, and a new page should open. (If you have any trouble, use this link: http://discoverct.org/structure_trial_criteria?nct_id=NCT00881712) Take a look at the page. Do you generally understand what you are supposed to do?

- Yes
- No
- Unsure

Please provide any comments you have about this

Creating a concept

Click on a "Start a new concept" link, preferably for a term that might appear in the eligibility criteria for a number of trials. (If you have any trouble, use this link: http://discoverct.org/active_learning? term=chemotherapy) Go through several rounds of the yes/no questions. Do you understand what is happening?

- Yes
- No
- Unsure

Yes		
No		
Unsure		
Please provide	any comments you have about this page	
« Back	Continue »	66% complete
		oo soon piek
	DiscoverCT gene	ral usability
	DiscoverCT gene	ral usability
Discove	DiscoverCT gene	ral usability
Discove	DiscoverCT gene	ral usability
Discove Free explore Please explore	DiscoverCT gene rCT exploration	ral usability
Discove Free explore Please explore	DiscoverCT gene rCT exploration	ral usability
Discove Free explore Please explore	DiscoverCT gene rCT exploration	ral usability
Discove Free explore Please explore	DiscoverCT gene rCT exploration	ral usability
Discove Free explore Please explore	DiscoverCT gene rCT exploration	ral usability
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